JC06 Rec'd PCT/PTO 27 SEP 2005 PTO-1390 (Rev. 02-2005)

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U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE
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TRANSMITTAL LETTER TO THE UNITED STATES

ATTORNEY'S DOCKET NUMBER 576P079

DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371		U.S. ARPLICATION NO. (If known, see 37 CFR 1.5)	
INTERNATIONAL APPLICATION NO. PCT/JP2004/004446	international filing date 29 March 2004	PRIORITY DATE CLAIMED 31 March 2003	
TITLE OF INVENTION PHTHALOCYANINE COLORANT AND USE THEREOF FOR INK-JET PRINTING			
APPLICANT(S) FOR DO/EO/US Takafumi Fujii, Hirokazu Kitayama and Shinichi Namba			
Takaiumi rujii, mirokazu ki	tes Designated/Flected Office (DO/E	O/US) the following items and other information:	
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:			
1. This is a <b>FIRST</b> submission of items concerning a submission under 35 U.S.C. 371.			
2. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.			
3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.			
4. The US has been elected (Article 31).			
5. X A copy of the International Application as filed (35 U.S.C. 371(c)(2))			
a. is attached hereto (required only if not communicated by the International Bureau).			
b. X has been communicated by the International Bureau.			
c. is not required, as the application was filed in the United States Receiving Office (RO/US).			
6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).			
a. X is attached hereto.			
b. has been previously submitted under 35 U.S.C. 154(d)(4).			
7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))			
a. are attached hereto (required only if not communicated by the International Bureau).			
b. La have been communicated by the International Bureau.			
c. have not been made; however, the time limit for making such amendments has NOT expired.			
d. XI have not been made and will not be made.			
8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).			
9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).			
10. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).			
Items 11 to 20 below concern document(s) or information included:			
11. An Information Disclosure Statemer			
2. X An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.			
13. A preliminary amendment.			
14. An Application Data Sheet under 37	An Application Data Sheet under 37 CFR 1.76.		
15. A substitute specification.			
16. A power of attorney and/or change of address letter.			
17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.			
	A second copy of the published International Application under 35 U.S.C. 154(d)(4).		
11	A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).  1.Copy of the International Search Report.		
20. X Other items or information: $\frac{1}{2}$	Copy of Forms PCT/IB/	304 & PCT/IB/308.	

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. INTERNATIONAL APPLICATION NO. ATTORNEY'S DOCKET NUMBER 576P079 PCT/JP2004/004446 **CALCULATIONS** PTO USE ONLY The following fees have been submitted Basic national fee.....\$300 21. 🕎 300.00 If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4).....\$100 200.00 23. X Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority.....\$100 International Search Report prepared and provided to the Office.......\$400 All other situations......\$500 400.00 900.00 TOTAL OF 21, 22 and 23 = Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. RATE Number of each additional 50 or fraction **Total Sheets** Extra Sheets thereof (round up to a whole number) 250.00 101 - 100 =x \$250 1 /50 = Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)). NUMBER FILED \$ CLAIMS NUMBER EXTRA RATE \$ x \$50 550.00 Total claims -20 =31 11 x \$200 \$ 200.00 1 Independent claims \$360 MULTIPLE DEPENDENT CLAIM(S) (if applicable) 360.00 **TOTAL OF ABOVE CALCULATIONS =** 260.00 Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2. SUBTOTAL = 2,260,00 Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)). **TOTAL NATIONAL FEE =** 2,260.00 Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property 40.00 TOTAL FEES ENCLOSED = 2,300.00 Amount to be \$ refunded: Amount to be \$ charged: A check in the amount of 2,260.00 & 40.00 cover the above fees is enclosed. a. X \_\_\_\_ to cover the above fees. in the amount of \$ \_\_\_ Please charge my Deposit Account No. A duplicate copy of this sheet is enclosed. c. X The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-0930. A duplicate copy of this sheet is enclosed. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. SEND ALL CORRESPONDENCE TO: CUSTOMER NO: 42754 &IGNATURE Nields & Lemack 176 E. Main Street - Suite 7 Kevin S. Lemack Westboro, MA 01581 32,579 REGISTRATION NUMBER

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Applicants** 

Takafumi Fujii et al.

Serial No.

Not yet assigned (PCT/JP2004/004446)

Filed

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Herewith By Express Mail (29 March 2004)

For

PHTHALOCYANINE COLORANT AND USE THEREOF FOR

**INK-JET PRINTING** 

Examiner

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Not yet assigned

Art Unit

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Not yet assigned

Attorney

Docket No.

576P079

Type of paper

Letter

#### "EXPRESS MAIL" MAILING LABEL NO ED 113525910 US DATE OF DEPOSIT: September 27, 2005

I hereby certify that this patent application is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" Service under 37 CFR 1.10 on the date indicated above and is addressed to: Commissioner for Patents, P.O. Box 1450, Mail Stop: PCT, Alexandria, VA 22313-1450.

Ann Marie Mahan

Name of person mailing paper or fee

# JC20 Rec'd PCT/PTO 2 7 SEP 2003,

Attorney Docket No. 576P079

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Takafumi Fujii, Hirokazu Kitayama and Shinichi Namba

For: PHTHALOCYANINE COLORANT AND USE THEREOF FOR INK-JET PRINTING

Mail Stop: PCT
Commissioner For Patents

P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

**LETTER** 

The above-identified applicants enclose for filing the national phase of a PCT patent application (PCT/JP2004/004446) entitled "PHTHALOCYANINE COLORANT AND USE THEREOF FOR INK-JET PRINTING". Said attached PCT patent application includes (1) Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Filing Under 35 U.S.C. 371 (2-Pages); (2) Translation of the International Application into English: 101-Page Specification (including 13-Pages of Claims (28) and 1-Page Abstract); (3) Executed Declaration (3-Pages); (4) Check in the amount of \$2,260.00 in payment of the Filing Fee; (5) Copy of the International Search Report (1-page); (6) Recordation Form Cover Sheet (1-Page), Assignment (2-Pages); (7) Check in the amount of \$40.00 in payment of the Recording Fee; (8) Copy of the Notice Informing the Applicant of the Communication of the International Application to the Designated Offices (Form PCT/IB/308)(1-Page); and (8) Copy of the Notification Concerning Submission of Priority Document (Form PCT/IB/304)(1-Page).

All correspondence concerning this application should be mailed to: CUSTOMER NO: 42754

Kevin S. Lemack Nields & Lemack 176 E. Main Street - Suite 7 Westboro, Massachusetts 01581

Respectfully submitted,

Kevin S. Lemack Attorney for Applicant

Registration No. 32,579

Date: \_\_\_September 27, 2005

EXPRESS MAIL NO: ED 113525910 US

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Transmittal Letter to the United States Designated/Elected Office

(DO/EO/US) Concerning a Filing Under 35 U.S.C. 371

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Translation of the International Application into English

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**Executed Declaration** 

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**Filing Fee** 

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Copy of the International Search Report

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**Ann Marie Mahan** 

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**Assignment** 

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**Recording Fee** 

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Art Unit

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Copy of the Notice Informing the Applicant of the

Communication of the International Application to the Designated

Offices (Form PCT/IB/308)

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Art Unit

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Copy of the Notification Concerning Submission or Transmittal of

Priority Document (Form PCT/IB/304)

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